PTO/SB/21 (08-03)

| Mister the Panenwork Reduction Act of 1995, no ners | | Approved for use through 08/30/2003. OMB 0651-0031 nt and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number. | | | | | | | | |
|---|---|--|--|--|--|--|--|--|--|--|
| | Application Number | 10/764,988 | | | | | | | | |
| TRANSMITTAL | Filing Date | January 26, 2004 | | | | | | | | |
| FORM | First Named Inventor | Robert Ziegler | | | | | | | | |
| (to be used for all correspondence after initial filing) | Art Unit | To Be Assigned | | | | | | | | |
| | Examiner Name | To Be Assigned | | | | | | | | |
| Total Number of Pages in This Submission | Attorney Docket Number | ATMD-26165 | | | | | | | | |
| ENG | ENCLOSURES (Check all that apply) | | | | | | | | | |
| Fee Transmittal Form | Drawing(s) | After Allowance communication to Technology Center (TC) | | | | | | | | |
| Fee Attached | Licensing-related Papers | Appeal Communication to Board of Appeals and Interferences | | | | | | | | |
| Amendment/Reply | Petition | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | | | | | | | | |
| After Final | Petition to Convert to a Provisional Application | Proprietary Information | | | | | | | | |
| Affidavits/declaration(s) | Power of Attorney, Revocation Change of Correspondence Addr | | | | | | | | | |
| Extension of Time Request | Terminal Disclaimer | Other Enclosure(s) (please Identify below): | | | | | | | | |
| Express Abandonment Request | Request for Refund Post card | | | | | | | | | |
| Information Disclosure Statement | CD, Number of CD(s) | | | | | | | | | |
| Certified Copy of Priority Document(s) | arks | | | | | | | | | |
| Response to Missing Parts/ Incomplete Application | | | | | | | | | | |
| · · · · · · · · · · · · · · · · · · · | | | | | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | , | | | | | | | | | |
| | | | | | | | | | | |
| SIGNATURE | OF APPLICANT, ATTORN | EY, OR AGENT | | | | | | | | |
| Firm HOWISON & ARNOTT, L.L | | | | | | | | | | |
| or Individual name David C. Cain, Reg. No. 45 | 5,337 | | | | | | | | | |
| Signature Aurd Cair | | | | | | | | | | |
| Date 9/3/04 | | | | | | | | | | |
| CERTIF | ICATE OF TRANSMISSION | N/MAILING | | | | | | | | |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | | | | | | | | |
| Typed or printed name David C. Cain | | | | | | | | | | |
| Signature Awd Ca | iù | Date 913/04 | | | | | | | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)
Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it displays a valid OMB control number.

| FEE TRANSMITTAL | | Complete if Known | | | |
|---|-------------|----------------------|----------------|--|--|
| FEE IRAN | SWILLAL | Application Number | 10/764,988 | | |
| For FY 2004 Effective 10/01/2003. Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27 | | Filing Date | 1/26/2004 | | |
| | | First Named Inventor | Ziegler | | |
| | | Examiner Name | To Be Assigned | | |
| | | Art Unit | To Be Assigned | | |
| TOTAL AMOUNT OF PAYMENT | (\$) 450.00 | Attorney Docket No. | ATMD-26 165 | | |

| METHOD OF PAYMENT (check all that apply) | | FEE CALCULATION (continued) | | | | |
|--|--------------|-----------------------------|---------|-------------|--|----------|
| Check Credit card Money Other None | | 3. ADDITIONAL FEES | | | | |
| Deposit Account: | | ntity | Small | Entity | | |
| Denosit | Fee Code | Fee (\$) | | Fee (\$) | Fee Description | Fee Paid |
| Account Number 20-0780/ATMD-26,165 | 1051 | 130 | 2051 | | Surcharge - late filing fee or oath | 65.00 |
| Deposit Account Howison & Arnott, L.L.P. | 1052 | 50 | 2052 | | Surcharge - late provisional filing fee or cover sheet | |
| Name | | 130 | 1053 | 130 | Non-English specification | |
| The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments | | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| Charge any additional fee(s) or any underpayment of fee(s) | | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| Charge fee(s) indicated below, except for the filing fee | | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| to the above-identified deposit account. | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| FEE CALCULATION | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1. BASIC FILING FEE Large Entity Small Entity | 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| Fee Fee Fee Fee Description Fee Paid | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | L |
| 1002 340 2002 170 Design filing fee 385.00 | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1003 530 2003 265 Plant filing fee | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1004 770 2004 385 Reissue filing fee | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) 385.00 | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| | | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | | 1,330 | 2501 | | Utility issue fee (or reissue) | |
| Extra Claims below Fee Paid Total Claims 20 -20** = 0 x = 0.00 | 1502 1503 | 480 | 2502 | | Design issue fee | |
| Total Claims | | 640 | 2503 | | Plant issue fee | |
| | | 130 | 1460 | | Petitions to the Commissioner | |
| Large Entity Small Entity | 1807 | 50 | 1807 | | Processing fee under 37 CFR 1.17(q) | |
| Fee Fee Fee Fee Description | 1806 | 180 | 1806 | | Submission of Information Disclosure Stmt | |
| Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1201 86 2201 43 Independent claims in excess of 3 | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1810 | 770 | 2810 | 385 | For each additional invention to be | |
| 1204 86 2204 43 ** Reissue independent claims over original patent | 1801 | 770 | 2801 | 385 | examined (37 CFR 1.129(b)) Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 | 1802 | 900 | 1802 | 900 | Request for expedited examination | |
| and over original patent | | foo / | naif A | | of a design application | |
| SUBTOTAL (2) (\$) -0- | | fee (sp ced by | | Filina F | ee Paid SURTOTAL (3) (\$) 65.0 | <u> </u> |
| **or number previously paid, if greater; For Reissues, see above | | by | 343IV I | y 1 | ee Paid SUBTOTAL (3) (\$) 65.0 | · |

SUBMITTED BY Registration No. Name (Print/Type) David C. Cain 45,337 Telephone 972-479-0462 (Attorney/Agent) Date Signature Havid (

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. DOS 1450 Alexandra, Virginia 22313-1450 www.usptu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/764,988

01/26/2004

Robert Ziegler

ATMD-26, 165

25883 HOWISON & ARNOTT, L.L.P P.O. BOX 741715 DALLAS, TX 75374-1715

DKT

CONFIRMATION NO. 6786 FORMALITIES LETTER *OC000000012509777*

Date Mailed: 05/03/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(I) and (p)(1)); See Figure(s) 2A, 3C.
 - The drawings must be made on paper that has a white background (see 37 CFR 1.84 (e)). For example, drawings on graph paper, lined paper, or paper that has a non-white background are not acceptable. See Figure(s) 1-3D.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE